

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shuichi Kikuchi et al. Art Unit : 2812
Serial No. : 09/789,163 Examiner : Unknown
Filed : February 20, 2001
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING IT

Commissioner for Patents
Washington, D.C. 20231

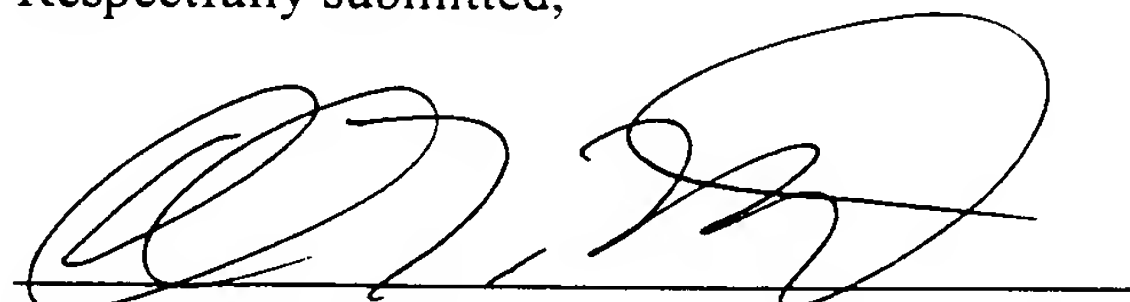
INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This filing is being made with the filing of a Request for Continued Examination. No fee is required.

Respectfully submitted,

Date: April 24, 2003


Chris T. Mizumoto
Reg. No. 42,899

Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, New York 10111
Telephone: (212) 765-5070
Facsimile: (212) 258-2291

30144724.doc

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 24, 2003
Date of Deposit

Rose Papetti
Signature

Rose Papetti
Typed or Printed Name of Person Signing Certificate

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10417-057001	Application No. 09/789,163
	Applicant Shuichi Kikuchi et al.		
	Filing Date February 20, 2001	Group Art Unit 2812	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	4,290,077	09/15/1981	Ronen			
	AB	5,229,308	07/20/1983	Vo et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	Korean Office Action dated September 26, 2002
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

130123M130123M/HW

OFFICIAL COMMUNICATION

FACSIMILE

FOR THE PERSONAL ATTENTION OF:

EXAMINER GEORGE FOURSON III

GROUP 2823 FAX NO: 703-308-7382

Number of pages including this page 27

Applicant : Shuichi Kikuchi et al.
Serial No. : 09/789,163
Filed : February 20, 2001

Art Unit : 2823
Examiner : GEORGE FOURSON III

FACSIMILE COMMUNICATION

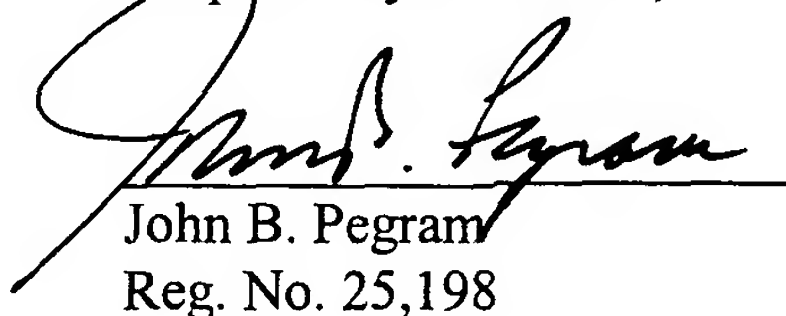
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING IT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached to this facsimile communication cover sheet is a Supplemental Information Disclosure Statement, Form PTO-1449, and 3 references faxed this 13th day of June, 2003, to Group 2823, the United States Patent and Trademark Office.

Respectfully submitted,


John B. Pegram
Reg. No. 25,198

Date: June 13, 2003

Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, New York 10111
Telephone: (212) 765-5070
Fax: (212) 258-2291

30150980.doc

NOTE: This facsimile is intended for the addressee only and may contain privileged or confidential information. If you have received this facsimile in error, please immediately call us collect at (212) 765-5070 to arrange for its return. Thank you.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shuichi Kikuchi et al. Art Unit : 2823
Serial No. : 09/789,163 Examiner : Unknown
Filed : February 20, 2001
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING IT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 8, 2003, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. Please apply \$180 in payment of the late submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

June 13, 2003

Date of Transmission

Signature

Gina Maldonado

Typed or Printed Name of Person Signing Certificate

Applicant : Shuichi Kikuchi et al.
Serial No. : 09/789,163
Filed : February 20, 2001
Page : 2 of 2

Attorney's Docket No.: 10417-057001 / F51-
130123M/HW

Respectfully submitted,

Date: June 13, 2003

John B. Pegram
John B. Pegram
Reg. No. 25,198

Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, New York 10111
Telephone: (212) 765-5070
Facsimile: (212) 258-2291

30150972.doc

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10417-057001	Application No. 09/789,163
	Applicant Shuichi Kikuchi et al.		
	Filing Date February 20, 2001	Group Art Unit 2823	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Abstract	
							Yes	No
	AL	7-283409	10/27/95	JP			X	
	AM	60-198780	10/08/85	JP			X	
	AN	5-343675	07/07/93	JP			X	
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shuichi Kikuchi et al. Art Unit : 2812
Serial No. : 09/789,163 Examiner : Unknown
Filed : February 20, 2001
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING IT

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated January 7, 2003, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

March 6, 2003

Date of Deposit

Signature

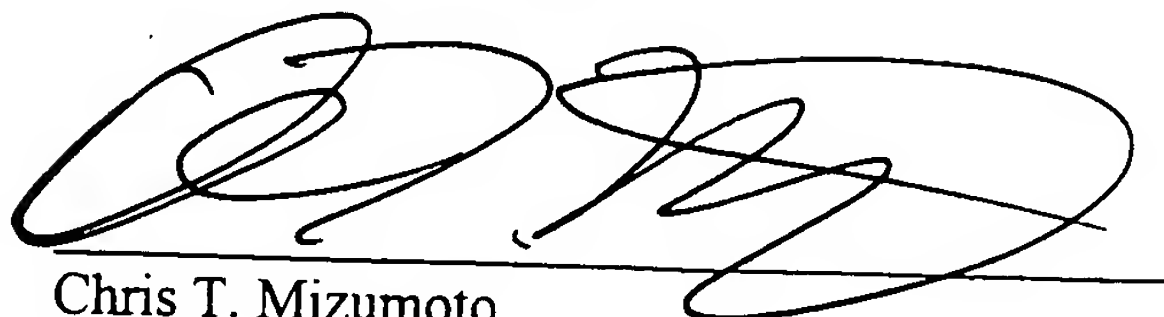
Rose Papetti

Typed or Printed Name of Person Signing Certificate

Applicant : Shuichi Kikuchi et al.
Serial No. : 09/789,163
Filed : February 20, 2001
Page : 2

Attorney's Docket No.: 10417-057001 / F51-
130123M/HW

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Chris T. Mizumoto', written over a horizontal line.

Chris T. Mizumoto
Reg. No. 42,899

Date: March 6, 2003

Fish & Richardson P.C.
45 Rockefeller Plaza, Suite 2800
New York, New York 10111
Telephone: (212) 765-5070
Facsimile: (212) 258-2291

30138295.doc

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 10417-057001	Application No. 09/789,163
	Applicant Shuichi Kikuchi et al.		
	Filing Date February 20, 2001	Group Art Unit 2812	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	S60-198780	10.08.1985	Japan				
	AM	H10-506755	06.30.1998	Japan				
	AN	H06-120497	04.28.1994	Japan				
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	